

omitted from the table. Also, the following text was added to the specification because it was in the table of Appendix A but accidentally omitted from the specification:

Next, the photoresist is removed and a new layer of photoresist is deposited and developed using mask 12 to protect the EEPROM device and the N well 62 of the PMOS device area and expose the P well 66 of the NMOS device. An N+ arsenic implant is performed using this layer of photoresist and the control gate 110 and oxide spacer layers 114 of the NMOS device as implant masks to form self aligned source and drain layers 130 and 132 for the NMOS device.

### IN THE CLAIMS

- 1 1. (CLEAN) A nonvolatile memory cell comprising:
- 2 a semiconductor substrate doped to have a first conductivity type so as to act as
- 3 a source region of said nonvolatile memory cell, said first conductivity type being either
- 4 N-type or P-type, and having a top surface which extends laterally and a depth which
- 5 extends vertically;
- 6 a vertical MOS transistor formed by alternating, abutting N-type and P-type doped
- 7 layers in said substrate which have junctions therebetween to form a channel region and
- 8 a drain region of said vertical MOS transistor with said drain region having said first
- 9 conductivity type and said channel region having a second conductivity type which is P-
- 10 type if said first conductivity type is N-type and is N-type if said first conductivity type is
- 11 P-type, said substrate forming a source region of said first conductivity type of said
- 12 vertical MOS transistor, said source regions having a junction with said channel region,
- 13 and wherein a well with one or more walls is etched vertically into said substrate
- 14 through said channel and drain regions and at least partially into said source region such
- 15 that said drain and channel regions surround said well and form at least a portion of said

16 one or more walls of said well, said well having a floating gate of conductive material  
17 formed therein which is self aligned to not extend laterally beyond edges of said well,  
18 said edges being defined by said one or more walls of said well, and insulated from said  
19 channel and drain regions and said substrate by a layer of insulating material, said  
20 floating gate being laterally adjacent to at least said portion of said wall of said well  
21 formed by said channel region of said vertical MOS transistor such that differing levels of  
22 trapped charge in said floating gate affects the conductivity of said channel region and a  
23 threshold of said vertical MOS transistor;

24 a word line contact comprising a layer of conductive material formed on said  
25 substrate so as to extend vertically down into said well and lie laterally adjacent to said  
26 floating gate but be insulated therefrom by an insulation layer such that voltage applied to  
27 said control gate affects the charge on said floating gate; and

28 a bit line contact comprising a layer of conductive material formed on said  
29 substrate so as to be in electrical contact with said drain region of said vertical MOS  
30 transistor.

1 2. (CLEAN) A substructure of a vertical MOS transistor forming part of a  
2 nonvolatile memory cell comprising:

3 a semiconductor substrate having a top surface which extends in a lateral  
4 direction and a thickness which extends in a vertical direction and having a drain region  
5 of a first conductivity type formed therein and suitable to act as a drain of a vertical MOS  
6 transistor;

7 a buried layer channel region in said semiconductor substrate doped so as to  
8 have a second conductivity type having the majority of charge carriers therein of a  
9 different polarity than said first conductivity type and suitable to act as a channel of a

10 vertical MOS transistor formed in said substrate;

11 a source region of said semiconductor substrate below said channel region, said

12 source region being doped so as to have said first conductivity type and suitable to act

13 as a source of a vertical MOS transistor;

14 a well etched vertically into said semiconductor substrate, said well having one or

15 more side walls and being deep enough to penetrate through said drain region, said

16 channel region and at least partially into said source region such that at least some

17 portions of said one or more side walls of said well are defined by intersections with

18 said source, drain and channel regions;

19 an insulating layer covering the bottom of said well;

20 a gate insulating layer formed on said one or more sidewalls of said well;

21 a self aligned floating gate comprising a conductive material formed within said

22 well on said gate insulating layer so as to not extend beyond said one or more of said

23 well and positioned laterally adjacent to the intersection of said one or more side walls

24 and said channel region such that trapped charge in said floating gate affect the

25 conductivity of said channel regions and a threshold of said vertical MOS transistor;

26 an insulating layer formed over said self aligned floating gate so as to electrically

27 isolate said floating gate from all surrounding structures; and

28 a word line comprising conductive material deposited so as to extend into said

29 well far enough to lie laterally adjacent to said floating gate so as to form a control gate of

30 a vertical MOS transistor nonvolatile EEPROM structure.

1 3. (CLEAN) A nonvolatile memory cell comprising:

2 a semiconductor substrate having a top surface which extends laterally and

3 having a depth which extends vertically;

4 a vertical MOS transistor formed by a first layer of said substrate of N-type  
5 conductivity forming a drain region of said vertical MOS transistor, a second layer of said  
6 substrate of P-type conductivity and vertically adjacent to and beneath said first layer  
7 relative to said top surface of said substrate so as to form a channel region of said  
8 vertical MOS transistor, and a third layer of said substrate of N-type conductivity within  
9 said substrate and vertically adjacent to and beneath said second layer relative to said  
10 top surface of said substrate so as to form a source region of said vertical MOS  
11 transistor, said substrate also having a well vertically etched therein so as to penetrate  
12 through said first and second layers and at least partially through said third layer, said  
13 well having at least a portion of the wall or walls thereof formed by the intersection of  
14 said well with said channel regions, and said well having a floating gate of conductive  
15 material formed therein which is self aligned so as to not extend laterally beyond the wall  
16 or walls of said well, said floating gate including at least a portion thereof which lies  
17 laterally adjacent to said portion of said wall or walls of said well formed by the  
18 intersection of said well with said channel region such that trapped charge in said  
19 floating gate affects the conductivity of said channel regions and a threshold of said  
20 vertical MOS transistor, said floating gate being insulated by a layer of gate insulating  
21 material from said first, second and third layers;

22 a word line comprising a layer of conductive material formed so as to extend  
23 down into said well and have at least a portion thereof which is laterally adjacent to said  
24 floating gate but insulated therefrom by an insulation layer so as to act as a control gate  
25 for said vertical MOS transistor;

26 a bit line comprising a layer of conductive material formed above said top surface  
27 of said substrate so as to be in electrical contact with a portion of said first layer; and

28 a spacer layer of insulating material insulating said word line from said bit line.

1           4. (CLEAN) The apparatus of claim 3 wherein said memory cell is part of an array  
2           comprised of rows and columns of adjacent memory cells and wherein said bit line is  
3           formed above said first layer so as to be above the top surface of said substrate and  
4           contacts said first layer at all points that form a top surface of said first layer between  
5           spacer layers of insulating material that insulates the word lines of adjacent memory  
6           cells.

Please add a new claim 7 as follows:

1           7. A vertically integrated nonvolatile memory transistor comprising:  
2                 a substrate having a top surface that extends horizontally and a depth  
3                 which extends vertically and which is doped to have a first conductivity type  
4                 and having an active area therein doped to a second conductivity type and a  
5                 conductivity level suitable to act as a source region of a vertically integrated MOS  
6                 non volatile memory transistor;  
7                 a buried channel region in said active area doped to have said first  
8                 conductivity type and a conductivity suitable to act as a channel region of said  
9                 vertically integrated MOS non volatile memory transistor;  
10                a drain region in said active area doped to have said second conductivity  
11                type and a conductivity suitable to act as a drain region of said vertically  
12                integrated MOS non volatile memory transistor;  
13                a well etched vertically down through said drain and channel regions and  
14                at least partially into said source region;  
15                an gate insulation layer formed on the walls of said well and an insulating  
16                layer on a floor of said well;

17 a conductive floating gate formed on the walls of said well on said gate  
18 insulation layer such that all portions of the walls of said well that intersect said  
19 channel region are horizontally adjacent said floating gate such that trapped  
20 charge on said floating gate can alter the conductivity of said channel region and  
21 the threshold of said vertically integrated MOS non volatile memory transistor;

22 an intergate insulation layer formed on said floating gate suitable to  
23 insulate said floating gate from all surrounding conductive structures;

24 a conductive control gate formed in said well so as to be horizontally  
25 adjacent to said floating gate such that a first potential applied to said control gate  
26 causes charges to tunnel into said floating gate and a second potential applied to  
27 said control gate causes charges to tunnel out of said floating gate, said control  
28 gate extending up to and making contact with or being part of a conductive word  
29 line formed across said top surface of said substrate;

30 a control gate insulating layer which insulates the top of said word line  
31 and one or more spacer insulation layers which insulate the sides of said word  
32 line;

33 one or more contact windows which are etched so as to be self aligned  
34 to the edge of said spacer insulation layers and which open said drain region to  
35 electrical contact; and

36 a conductive bit line formed across said top surface of said substrate so  
37 as to make contact with said drain region through said one or more contact  
38 windows.

Please add the following new claims.

- 1 8. The apparatus of claim 1 wherein said N-type and P-typed doped layers in  
2 said substrate forming said channel region and said drain regions are formed without

3 using any mask or only using non critical masks where non critical masks are defined as  
4 masks which are used to do only very loose alignment between layers.

1 9. The apparatus of claim 1 wherein said nonvolatile memory cell is formed with a  
2 process which simultaneously forms PMOS and NMOS devices on the same substrate as  
3 said nonvolatile memory cell but forms said PMOS and NMOS devices in different active  
4 areas from an active area in which said nonvolatile memory cell is formed, and wherein  
5 said source, channel and drain regions of said non volatile memory cell are formed with  
6 said process which simultaneously forms said PMOS and NMOS devices and are formed  
7 while said active areas of said PMOS and NMOS devices are covered by an insulation  
8 layer.

1 10. In a vertically integrated nonvolatile memory cell structure formed using a  
2 vertical well that penetrates doped drain and channel regions and into a source region of  
3 a substrate, said vertical well having a top edge and a bottom, said self aligned floating  
4 gate substructure comprising:

5 a self aligned floating gate insulating material layer on the vertical walls of  
6 said well which does not extend above said top edge of said well;

7 an insulating layer on said bottom of said well;

8 a self aligned floating gate conductor material formed on the layer of self  
9 aligned floating gate insulating material so as to not extend above said top edge of  
10 said vertical well.

1 11. The apparatus of claim 10 further comprising a self aligned layer of silicon  
2 dioxide/nitride/silicon dioxide (hereafter ONO) covering said self aligned floating gate

3 conductor material, and a doped polysilicon conductor control gate covering said ONO  
4 layer, said control gate being self aligned to an edge of said floating gate at said bottom  
5 of said well but extending above said top edge of said well, and a layer of silicon dioxide  
6 insulator covering a top surface of said control gate and self aligned spacer layers of  
7 silicon dioxide insulating vertical side edges of said control gate, said ONO layer being  
8 self aligned so as to not extend horizontally beyond the edges of said spacer layers of  
9 silicon dioxide insulator and insulating said control gate from said floating gate.

1 12. The apparatus of claim 11 wherein said self aligned floating gate insulating  
2 material layer, said insulating layer on said bottom of said well, said self aligned floating  
3 gate conductor material, said self aligned control gate and said self aligned ONO layer all  
4 are formed without using a critical mask, where a critical mask is defined as a mask  
5 which is required for tight tolerance alignment between different layers of a  
6 semiconductor structure.

1 13 . The apparatus of claim 10 wherein said self aligned floating gate  
2 substructure is formed by the following process:

*product  
by  
process*

3 forming a vertical well by etching vertically through a layer of silicon  
4 dioxide (hereafter oxide) covering a top surface of said substrate of  
5 semiconductor material, and etching vertically down into said substrate through  
6 said doped drain and channel regions and into said source region;

7 depositing a layer of nitride insulator on the bottom of said well and on pad  
8 oxide formed on vertical side walls of said well and on horizontal surfaces of an  
9 insulating layer over said drain region;

10 anisotropically etching said nitride back from all horizontal surfaces to



11 leave nitride only on said vertical walls of said well;  
12 growing a layer of oxide on said bottom of said well;  
13 wet etching said nitride off said vertical walls of said well to expose said  
14 pad oxide;  
15 growing said self aligned floating gate insulating material layer only on said  
16 vertical walls of said well since the bottom of said well is already covered by an  
17 oxide layer and a top surface of said substrate is also already covered by an  
18 oxide layer;  
19 depositing a layer of doped polysilicon over said substrate and into said  
20 well to cover said vertical walls and bottom of said well;  
21 forming a self aligned floating gate without using a mask by etching back  
22 said doped polysilicon from all horizontal surfaces thereby removing all doped  
23 polysilicon from a top surface of said oxide layer which covers said top surface  
24 of said substrate and said bottom of said vertical well.

1 14. The apparatus of claim 11 wherein said self aligned floating gate  
2 substructure, said self aligned control gate and said self aligned ONO layer are formed  
3 by the following process:

4 1) forming a vertical well by etching vertically through a layer of silicon  
5 dioxide (hereafter oxide) covering a top surface of said substrate of  
6 semiconductor material, and etching vertically down into said substrate through  
7 said doped drain and channel regions and into said source region;  
8 2) depositing a layer of nitride insulator on the bottom of said well and on  
9 pad oxide formed on vertical side walls of said well and on horizontal surfaces of  
10 an insulating layer over said drain region;

P by P

- 11 3) anisotropically etching said nitride back from all horizontal surfaces to
- 12 leave nitride only on said vertical walls of said well;
- 13 4) growing a layer of oxide on said bottom of said well;
- 14 5) wet etching said nitride off said vertical walls of said well to expose
- 15 said pad oxide;
- 16 6) growing said self aligned floating gate insulating material layer only on
- 17 said vertical walls of said well since the bottom of said well is already covered by
- 18 an oxide layer and a top surface of said substrate is also already covered by an
- 19 oxide layer;
- 20 7) depositing a layer of doped polysilicon conductor over said substrate
- 21 and into said well to cover said vertical walls and bottom of said well;
- 22 8) forming a self aligned floating gate without using a mask by etching
- 23 back said doped polysilicon from all horizontal surfaces thereby removing all
- 24 doped polysilicon from a top surface of said oxide layer which covers said top
- 25 surface of said substrate and said bottom of said vertical well;
- 26 9) forming a layer of silicon dioxide insulator covered by a layer of nitride
- 27 insulator covered by another layer of silicon dioxide insulator (hereafter ONO)
- 28 over said oxide layer covering said top surface of said substrate, said ONO layer
- 29 extending down into said vertical well and covering said self aligned floating gate;
- 30 10) depositing over said ONO layer a second layer of doped polysilicon
- 31 conductor from which said self aligned control gate will be formed;
- 32 11) growing a layer of oxide over said second layer of doped polysilicon;
- 33 12) using a non critical mask to etch away portions of said second layer
- 34 of doped polysilicon to define lateral extents of said self aligned control gate
- 35 above said top surface of said substrate leaving said layer of oxide on a top

36 surface of said control gate;  
37 13) depositing a layer of oxide over said surface of said substrate and  
38 covering said control gate's vertical side walls;  
39 14) anisotropically etching back said layer of oxide deposited in step 13 to  
40 remove oxide only from horizontal surfaces and leaving spacer oxide only on  
41 vertical side walls of said polysilicon of said control gate;  
42 15) using a non critical mask to define the lateral extents of contact holes  
43 to said drain region etching through said ONO layer formed in step 9 and said  
44 oxide layer covering said top surface of said substrate to self align said ONO  
45 layer to the lateral extents of said spacer oxide layer defined in step 14.

1 15. In a vertically integrated nonvolatile memory cell structure formed using a  
2 vertical well that penetrates doped drain and channel regions and into a source region of  
3 a substrate, said vertical well having a top edge and a bottom, a self aligned floating gate  
4 insulating layer substructure comprising:  
5 a self aligned floating gate insulating material layer on the vertical walls of  
6 said well which does not extend above the top of said well; and  
7 an insulating layer on the bottom of said well.

20 ————— *Fig. 5*

1 16. The self aligned floating gate insulating material substructure of claim 15  
2 manufactured by a process which does not use any critical mask in the steps used to  
3 form said self aligned floating gate insulating material layer such that it does not extend  
4 above said top of said well, where a critical mask is defined as a mask which is required  
5 for tight tolerance alignment between different layers of a semiconductor structure.